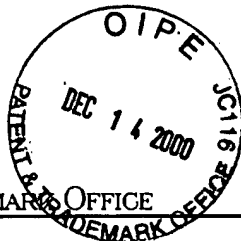




UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/535,069	03/23/2000	Eric M. Foster	END00-0010-US1

Whitham Curtis & Whitham  
Reston International Center  
11800 Sunrise Valley Drive Suite 900  
Reston, VA 20191

FORMALITIES LETTER



\*OC000000005595571\*

Date Mailed: 12/04/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

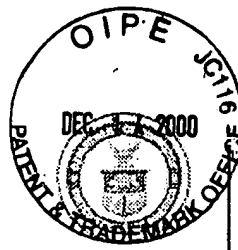
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Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

12/20/2000 SDUONG 00000027 090457 09535069  
01 FC:105 130.00 CH

**FILING RECEIPT**

\*OC00000005160898\*


**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

 Address: ASSISTANT SECRETARY AND  
 COMMISSIONER OF PATENT AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/535,069	03/23/2000	2711	690	END00-0010-US1	2	20	2

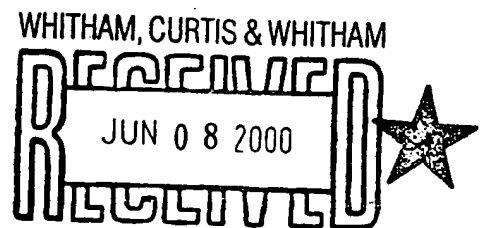
 Whitham Curtis & Whitham  
 Reston International Center  
 11800 Sunrise Valley Drive Drive Suite 900  
 Reston, VA 20191

Date Mailed: 06/07/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**
 Eric M. Foster, Owego, NY ;  
 Dennis E. Franklin, Endicott, NY ;  
 Wai Man Lam, Moheganlake, NY ;  
 Raymond E. Losinger, Endicott, NY ;  
 Chuck H. Ngai, Endwell, NY ;
**Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 06/06/2000

**Title**

File table copy protection for a storage device when storing streaming content

**Preliminary Class**

348

Data entry by : HINES, BRENDA

Team : OIPE

Date: 06/07/2000



**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

*Sector #*  
Docket No.  
END00-0010-US1

In Re Application Of: Eric M. Foster, Dennis E. Franklin, Wai Man Lam, Raymond E. Losinger, Chuck H. Ngai

Serial No.  
09/535,069

Filing Date  
03/23/2000

Examiner  
Not Assigned

Group Art Unit  
2711

Invention: **FILE TABLE COPY PROTECTION FOR A STORAGE DEVICE WHEN STORING  
STREAMING CONTENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

12/04/2000

*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Assignment with Recordation Form Cover Sheet**

**Communication regarding payment of filing fee with copy of originally received Official Filing Receipt**

**Change of Address**

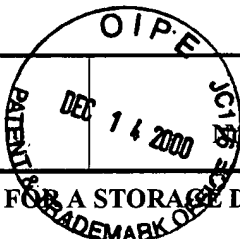
**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**END00-0010-US1**

In Re Application Of: **Eric M. Foster, Dennis E. Franklin, Wai Man Lam, Raymond E. Losinger, Chuck H. Ngai**

Serial No.  
**09/535,069**

Filing Date  
**03/23/2000**



Examiner  
Not Assigned

Group Art Unit  
**2711**

Invention: **FILE TABLE COPY PROTECTION FOR A STORAGE DEVICE WHEN STORING  
STREAMING CONTENT**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

☒ Completion of application fees as calculated below:

- |   |                 |
|---|-----------------|
| <input type="checkbox"/> Utility application filing fee   | _____           |
| <input type="checkbox"/> Design application filing fee  | _____           |
| <input type="checkbox"/> Total number of independent claims = _____   | _____           |
| <input type="checkbox"/> Total number of claims = _____   | _____           |
| <input type="checkbox"/> Multiple dependent claims  | _____           |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <b>\$130.00</b> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | _____           |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | _____           |
| <input type="checkbox"/> Fee for processing and retention of application  | _____           |

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**END00-0010-US1**

In Re Application Of: **Eric M. Foster, Dennis E. Franklin, Wai Man Lam, Raymond E. Losinger, Chuck H. Ngai**

Serial No.  
**09/535,069**

Filing Date  
**03/23/2000**

Examiner  
**Not Assigned**

Group Art Unit  
**2711**

Invention: **FILE TABLE COPY PROTECTION FOR A STORAGE DEVICE WHEN STORING  
STREAMING CONTENT**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of **\$130.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **09-0457**  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
Signature

Dated: **December 14, 2000**

**Marshall M. Curtis  
Reg. No. 33,138  
McGuireWoods, LLP  
1750 Tysons Blvd., Suite 1800  
McLean, VA 22102-4215**

I certify that this document and fee is being deposited on \_\_\_\_\_  
with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

*Signature of Person Mailing Correspondence*

**Hand Delivered**

*Typed or Printed Name of Person Mailing Correspondence*

CC:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

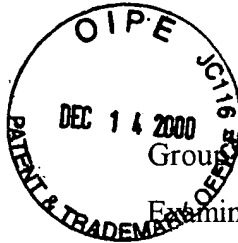
In re patent application of

Foster et al.

Serial No.: 09/535,069

Filed: March 23, 2000

For: FILE TABLE COPY PROTECTION FOR A STORAGE DEVICE WHEN  
STORING STREAMING CONTENT



Group/Art Unit: 2711

Examiner: Not Assigned

Assistant Commissioner for Patents  
Washington, D.C. 20231

## COMMUNICATION

Sir:

The above-identified patent application was filed with an unsigned declaration on March 23, 2000. Authorization was given to the USPTO to deduct the filing fee of \$690.00 from deposit account 09,0457, as evidenced in the attached copy of the Patent Application Transmittal. The Official Filing Receipt issued on June 7, 2000 (copy attached) also acknowledges payment of the filing fee.

The Notice to File Missing Parts of Nonprovisional Application, to which a response is being concurrently filed, has attached to it a second Official Filing Receipt which indicates that no filing fee had been received. It is respectfully requested that a corrected Official Filing Receipt acknowledging payment of the filing fee be issued.

Respectfully submitted,

Marshall M. Curtis  
Reg. No. 33,138

McGuireWoods LLP  
1750 Tysons Blvd. - Suite 1800  
McLean, Virginia 22102-4215  
(703) 712-5062